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		Application No	<b>).</b>	Applicant(s)		
Office Action Summary		10/620,563		MIRZA-BAIG, ATTAULLAH		
		Examiner		Art Unit		
		Jared M. Bibbe	9	2161		
The MAILING DATE of the Period for Reply	s communication app	pears on the cove	er sheet with the c	orrespondence address		
A SHORTENED STATUTORY I WHICHEVER IS LONGER, FRO - Extensions of time may be available under after SIX (6) MONTHS from the mailing da - If NO period for reply is specified above, th - Failure to reply within the set or extended particles and the set of	OM THE MAILING Dathe provisions of 37 CFR 1.1 te of this communication. The maximum statutory period veriod for reply will, by statute three months after the mailing	ATE OF THIS C 36(a). In no event, how will apply and will expire e, cause the application	OMMUNICATION vever, may a reply be tine SIX (6) MONTHS from to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).		
Status						
1) Responsive to communic	ation(s) filed on <u>09 Ja</u>	<u>anuary 2007</u> .		•		
2a)⊠ This action is FINAL.	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
3) Since this application is in	$\square$ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with	the practice under E	Ex parte Quayle,	1935 C.D. 11, 45	53 O.G. 213.		
Disposition of Claims				·		
4) Claim(s) 1-27 is/are pendid 4a) Of the above claim(s)  5) Claim(s) is/are allo 6) Claim(s) 1-27 is/are reject 7) Claim(s) is/are object 8) Claim(s) are subject	is/are withdrawwed. ed. ected to.	wn from conside				
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9) The specification is objected 10) The drawing(s) filed on Applicant may not request the Replacement drawing sheeted 11) The oath or declaration is	is/are: a) acc at any objection to the s) including the correct	epted or b) oldepted or boldepted or b) oldepted or b) oldepted if t	d in abeyance. See	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).	-	
Priority under 35 U.S.C. § 119						
3. Copies of the certifi	None of: he priority document he priority document ed copies of the prior International Bureau	s have been rec s have been rec rity documents h u (PCT Rule 17.	eived. eived in Applicati nave been receive 2(a)).	on No ed in this National Stage		
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawi  3) Information Disclosure Statement(s) (Paper No(s)/Mail Date	ng Review (PTO-948)	4) 5) 6)	Interview Summary Paper No(s)/Mail Da Notice of Informal P Other:	nte		

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# **DETAILED ACTION**

# Response to Amendment

1. This Office Action has been issued in response to amendment filed on 9 January 2007. Claims 1-27 are pending. Applicants' arguments have been carefully and respectfully considered in light of the instant amendment and are not persuasive, as they relate to the claim rejections under 35 U.S.C. 101 and 103 as will be discussed below. Accordingly, this action has been made FINAL.

# Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 1-27 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

With regards to claims 1, 10, and 19 it is clear that the claim language simply represents an abstract idea where the generated logical relationships are associated with the logical representation of the data, but fails to provide a useful, concrete, and tangible purpose or result. Applicant is reminded that patent protection is limited to inventions that possess a certain level of "real world" value, as opposed to subject matter that represents nothing more than an idea or concept (Brenner v. Manson, 383 U.S. 519, 528-36, 148 USPQ 689, 693-96

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(1966)); In re Fisher, 421 F.3d 1365, 76 USPQ2d 1225 (Fed. Cir. 2005); In re Ziegler, 992 F.2d 1197, 1200-03, 26 USPQ2d 1600, 1603-06 (Fed. Cir. 1993)).

Since the claims presented by the applicant are indeed simply abstract ideas, the claims are not covered by the statutory categories of patentable subject matter set forth in 35 U.S.C. 101. An abstract idea is categorized as one of the three judicially created exceptions to patentable subject matter (the three exceptions are Laws of Nature, Natural Phenomena, and Abstract Ideas). The courts have concluded that in order to patent on of the three judicial exceptions to the statutory categories of the invention the claimed subject matter must have a practical, real-world application that produces a useful, concrete, and tangible result (State Street, 149 F.3d at 1373-74, 47 USPQ2d at 1601-02).

In order to overcome this rejection, the applicant must add a final limitation to independent claims 1, 10, and 19 showing step of actually presenting the result of the poll of the network devices to a user in the form of a view. A final step, such as the ones stated in claims 4 or 5, could be presented using a display. By adding this conclusionary step, the applicant will add to the claimed invention a useful, concrete, and tangible result that arises from a practical application of the method steps previously mentioned in the claim.

Claim 2-9, 11-18, and 20-27 are rejected because they contain the deficiencies of claims 1, 10, and 19 respectively.

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Note that moving the limitation of claims 4-5, 13-14, and 22-23 into claims 1, 10, and 19 respectively, is not enough to overcome the 35 U.S.C. 101 rejection because claims 4-5, 13-14, and 22-23 still lack the conclusionary step that definitively presents the limitations to the user in a view.

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-3, 10-12, 14, 15, 19-21, 23, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulsipher (U.S. 2002/0124079 A1) in view of Hara et al (U.S. 2002/0059410 A1).

With respect to independent claim 1, Pulsipher clearly teaches a plug-in (300, Fig. 3) for use with a standard network management software (140, Fig. 3) that discovers all devices on a network and that stores information about the discovered devices in a database (see paragraph [0028]; Note that the device discovery module (300) serves the same purpose as Applicant's claimed plugin.), comprising:

• a first computer control configured to access the database <u>independent</u> of control from the remote monitoring center, and to identify network devices from the database (see paragraphs [0029] and [0031]);

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- a second computer control configured to determine, independent of control from the remote monitoring center, if the identified network devices belong to a selected group of network devices (see paragraphs [0032] and [0033]; Note that the device finder module uses inference methodology to determine which devices belong to which group of network devices. For example, the device finder module first sorts the retrieved remote device information by device then by port to determine point-to-point and multiple connection devices.);
- a <u>third</u> computer control configured to poll, <u>independent of control</u> from the remote monitoring center, the <u>selected group</u> of network devices for information (see paragraph [0029]); and
- a fourth computer code configured to report, independent of control from the remote monitoring center, results of the polling (see paragraphs [0031] and Figure 3; Note that the display module (330) reports the discovered network devices by displaying them.).

Pulispher does not appear to explicitly disclose the network being connected to a remote monitoring center and the results of the polling being reported to the remote monitoring center.

However, Hara discloses the network being connected to a remote monitoring center (see paragraph [0043]; Note that the Local management system adequately serves as the remote monitoring center since its separately connected to the network and is the center for all network device management.) and the results of the polling

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being presented to the remote monitoring center (see paragraphs [0049] and [0050]; Note that an event list, containing the contents of the trouble, device and occurrencetime is displayed by the event monitor (110a). The event list is the results in that the list adequately displays any devices, which have troubles within a network. In order for the event list to be displayed, the list would have to be reported to the monitoring center for display.).

Pulsipher and Hara are analogous art because they are from the same field of endeavor "network management".

At the time of the invention, it would have been obvious to one of ordinary skill in the art, having the teachings of Pulsipher and Hara before him or her, to modify the network interface module of Pulsipher to include the local management system of Hara for the purpose of enabling a network management administrator to readily be able to remotely view network device problems through a user interface.

The suggestion/motivation for doing so would have been to provide a remote site management system which allows a supervisor/administrator to integrally manage both versatile computers and peripheral devices at remote sites (see paragraph [0006]).

Therefore, it would have been obvious to combine Hara with Pulsipher to obtain the invention as specified in the instant claim(s).

With respect to dependent claim 2, note the discussion of claim 1 above, Pulsipher and Hara disclose all of the elements of claim 1 and Hara

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the information from the polled <u>selected group</u> of network devices to set predetermined properties for at least one of the <u>selected group</u> of network devices (see paragraph [0059] and [0060]; Note that the application system (205) utilizes a setup value information file (401) to set device properties.).

With respect to dependent claim 3, note the discussion of claim 1 above, Pulsipher and Hara disclose all of the elements of claim 1 and Hara further teaches the limitation of a <u>fifth</u> computer control configured to determine error conditions in the first set of network devices from the information from the polled first set of network devices (see paragraph [0048]).

With respect to independent claim 10, note the discussion of claim 1 above, claim 10 corresponds to claim 1 and is rejected for the same reasons as set forth in the rejection of claim 1.

With respect to dependent claims 11 and 12, note the discussion of claims 2 and 3 above, claims 11 and 12 correspond to claims 2 and 3 respectively and are rejected for the same reasons as set forth in the rejection of claims 2 and 3.

With respect to independent claim 19, note the discussion of claim 1 above, claim 19 corresponds to claim 1 and is rejected for the same reasons as set forth in the rejection of claim 1.

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With respect to dependent claims 20 and 21, note the discussion of claims 2 and 3 above, claims 20 and 21 correspond to claims 2 and 3 respectively and are rejected for the same reasons as set forth in the rejection of claims 2 and 3.

6. Claims 4-6, 13-15, and 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulsipher in view of Hara as applied to claims 1-3, 10-12, 14, 15, 19-21, 23, and 24 above, and further in view of Baekelmans et al (U.S. 7,080,141 B1).

With respect to dependent claim 4, note the discussion of claim 3 above, the combination of Pulsipher and Hara teach the limitation of the fourth computer control configured to report at least one of the error conditions to the remote monitoring center but fail to report the error conditions by an e-mail message.

However, Baekelmans clearly teaches reporting the error conditions by an e-mail message (see column 10, lines 7-11).

Pulsipher, Hara, and Baekelmans are analogous art because the are from the same field of endeavor "network management".

At the time of the invention, it would have been obvious to one of ordinary skill in the art, having the teachings of the combination of Pulsipher and Hara and the teachings of Baekelmans before him or her, to modify the

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combination of Pulsipher and Hara to include the notification engine as taught by Baekelmans for the purpose of sending error messages via email.

The suggestion/motivation for doing so would have been to anticipate and resolve problems in network devices before a failure is encountered (see column 2, lines 33-37).

Therefore, it would have been obvious to combine the combination of Pulsipher and Hara with Pulsipher to obtain the invention as specified in the instant claim(s).

With respect to dependent claim 5, the combination of Pulsipher, Hara, and Baekelmans clearly teach the elements of claim 4 and Hara further teaches the limitation of the fourth computer control is further configured to report at least a first error condition substantially as the first error condition occurs, and to report at least a second error condition if the second error condition persists for a predetermined period of time (see paragraph [0072]; Note that through a display device a service person is able to view multiple error conditions for multiple devices.).

With respect to dependent claim 6, the combination of Pulsipher, Hara, and Baekelmans clearly teach the elements of claim 4 and Hara further teaches the limitation of the second to fifth computer controls are repeated for all devices within the selected group of network devices at every one of poll cycles (see paragraph [0048]; Note that because the event adapter surveys the

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information sent from the device monitoring server (203a), which consists of all of the peripheral devices located on the network, that all of the steps described by Hara in the above claims corresponding to the second to fifth computer controls is executed for each device.).

With respect to dependent claim 13, note the discussion of claim 4 above, claim 13 corresponds to claim 4 and is rejected for the same reasons as set forth in the rejection of claim 4.

With respect to dependent claims 14 and 15, note the discussion of claims 2 and 3 above, claims 14 and 15 correspond to claims 5 and 6 respectively and are rejected for the same reasons as set forth in the rejection of claims 5 and 6.

With respect to dependent claim 22, note the discussion of claim 4 above, claim 22 is a process claim corresponding to claim 4 and is rejected for the same reasons as set forth in the rejection of claim 4.

With respect to dependent claims 23 and 24, note the discussion of claims 2 and 3 above, claims 23 and 24 correspond to claims 5 and 6 respectively and are rejected for the same reasons as set forth in the rejection of claims 5 and 6.

7. Claims 9, 18, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulsipher in view of Hara, in view of Baekelmans, and further in view of Boroughs et al (U.S. 6,834,350 B1).

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With respect to dependent claim 9, note the discussion of claim 4 above, the combination of Pulsipher, Hara, and Baekelmans teach all of the elements of claim 4 but fail to explicitly recite fifth computer control is further configured to encrypt the e-mail message.

However, Boroughs clearly teaches encrypting the e-mail message (see column 10, lines 57-61).

Pulsipher, Hara, Baekelmans, and Boroughs are analogous art because they are from the same field of endeavor "network management".

At the time of the invention, it would have been obvious to one of ordinary skill in the art, having the teachings of the combination of Pulsipher, Hara, and Baekelmans and the teachings of Bouroughs before him or her, to modify the combination of Pulsipher and Hara to include the notification engine as taught by Baekelmans and further modify the notification engine as taught by Baekelmans to incorporate the encrypting of email messages as taught by Boroughs for the purpose of ensuring the security of the error messages.

The suggestion/motivation for doing so would have been to providing secure and differentiated delivery of network security information (see column 2, lines 48-51).

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Therefore, it would have been obvious to combine the combination of Pulsipher and Hara with Baekelmans to obtain the invention as specified in the instant claim(s).

With respect to dependent claim 18, note the discussion of claim 9 above, claim 18 corresponds to claim 9 and is rejected for the same reasons as set forth in the rejection of claim 9.

With respect to dependent claim 27, note the discussion of claim 9 above, claim 27 is a process claim corresponding to claim 9 and is rejected for the same reasons as set forth in the rejection of claim 9.

8. Claims 7, 16, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulsipher in view of Hara as applied to claims 1-3, 10-12, 14, 15, 19-21, 23, and 24 above, and further in view of Planas et al (U.S. 6,112,015).

With respect to dependent claim 7, note the discussion of claim 1 above, the combination of Pulsipher and Hara disclose all of the elements of claim 1 but fail to explicitly disclose that the standard network management software is HP Open View.

However, Planas clearly teaches HP Open View as standard network management software (see column 1, lines 27-41).

Pulsipher, Hara, and Planas are analogous art because the are from the same field of endeavor "network management".

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At the time of the invention, it would have been obvious to one of ordinary skill in the art, having the teachings of the combination of Pulsipher and Hara and the teachings of Planas before him or her, to modify the combination of Pulsipher and Hara to include the HP Open View network management software as taught by Planas.

The suggestion/motivation for doing so would have been to provide a user with a Graphical User Interface to enable the maintenance, surveillance and administration of multiple devices on a network (see column 1, lines 14-16 and lines 27-28).

Therefore, it would have been obvious to combine the combination of Pulsipher and Hara with Planas to obtain the invention as specified in the instant claim(s).

With respect to dependent claim 16, note the discussion of claim 7 above, claim 16 corresponds to claim 7 and is rejected for the same reasons as set forth in the rejection of claim 7.

With respect to dependent claim 25, note the discussion of claim 7 above, claim 25 is a process claim corresponding to claim 7 and is rejected for the same reasons as set forth in the rejection of claim 7.

9. Claims 8, 17, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulsipher in view of Hara as applied to claims 1-3, 10-12,

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14, 15, 19-21, 23, and 24 above, and further in view of Stevens et al (U.S. 6,539,425 B1).

With respect to dependent claim 8, note the discussion of claim 1 above, the combination of Pulsipher and Hara disclose all of the elements of claim 1 but fail to explicitly disclose that the <u>selected group</u> of network devices are all the network devices on the network discovered to be manufactured by a same manufacturer.

However, Stevens clearly teaches that the first set of network devices are all the network devices on the network discovered to be manufactured by a same manufacturer (see column 6, lines 6-8).

Pulsipher, Hara, and Stevens are analogous art because the are from the same field of endeavor "network management".

At the time of the invention, it would have been obvious to one of ordinary skill in the art, having the teachings of the combination of Pulsipher and Hara and the teachings of Stevens before him or her, to modify the combination of Pulsipher and Hara to include the network devices with the same manufacturer as taught by Stevens.

The suggestion/motivation for doing so would have been to avoid tedious and error-prone manual adjustment of configuration changes of many different types of devices (see column 1, lines 46-47).

Therefore, it would have been obvious to combine the combination of Pulsipher and Hara with Stevens to obtain the invention as specified in the instant claim(s).

With respect to dependent claim 17, note the discussion of claim 8 above, claim 17 corresponds to claim 8 and is rejected for the same reasons as set forth in the rejection of claim 8.

With respect to dependent claim 26, note the discussion of claim 8 above, claim 26 is a process claim corresponding to claim 8 and is rejected for the same reasons as set forth in the rejection of claim 8.

# Response to Arguments

# **Note:**

Applicants' arguments with respect to objections and rejections not repeated herein are moot, as the respective objections and rejections have been withdrawn in light of the instant amendments. Those arguments that still deemed relevant are now addressed below.

# A. Applicant Argues:

Each claim is amended by the present response to clarify features recited therein. The claim amendments are believed to also more clearly recite a useful, concrete, and tangible result of the claimed inventions. To that extent the claims clearly recite an operation to "report results of the polling to the remote monitoring center". That is a clear useful, concrete, and tangible result of the claimed invention.

### Response:

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With respect to Applicant's argument, the argument is not correct and Examiner is not persuaded because Applicant's final limitation in claims 1, 10, and 19:

a fourth computer code configured to report, independent of control from the remote monitoring center, results of the polling to the remote monitoring center.

This limitation recites "computer code configured to report", but nothing in the claims states or suggests that the reporting actually is executed by the system.

Therefore, claims 1, 10, and 19 simply represent an abstract idea where the generated logical relationships are associated with the logical representation of the data, and fail to provide a useful, concrete, and tangible purpose or result.

Claim 2-9, 11-18, and 20-27 are rejected because they contain the deficiencies of claims 1, 10, and 19 respectively.

# **B.** Applicant Argues:

The claimed local plug-in allows different controls independent of control from a remote monitoring center. The local plug-in 203b in Hara does not operate in that way but instead merely provides connection so that the remote monitoring center 210 can access the device monitoring server 203a. Thereby, the local plug-in 203b in Hara does not perform the claimed functions itself of accessing the database, determining if network devices belong to a selected group of devices, and reporting polling results to the remote monitoring center.

With respect to that final feature of reporting polling results to the remote monitoring center, in Hara the device center server 210 itself searches for information from the device monitoring server 203a, via the event adapter 210a, and thereby even more clearly the plug-in 203b does not perform that function

## Response:

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Applicant's arguments with respect to claims 1, 10, and 19 have been considered but are moot in view of the new ground(s) of rejection.

### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

### **Points of Contact**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jared M. Bibbee whose telephone number is 571-270-1054. The examiner can normally be reached on IFP.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Apu Mofiz can be reached on 571-272-4080. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 101

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claims 1-27 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

With regards to claims 1, 10, and 19 it is clear that the claim language simply represents an abstract idea where the generated logical relationships are associated with the logical representation of the data, but fails to provide a useful, concrete, and tangible purpose or result. Applicant is reminded that patent protection is limited to inventions that possess a certain level of "real world" value, as opposed to subject matter that represents nothing more than an idea or concept (*Brenner v. Manson, 383 U.S. 519, 528-36, 148 USPQ 689, 693-96 (1966)); In re Fisher, 421 F.3d 1365, 76 USPQ2d 1225 (Fed. Cir. 2005); In re Ziegler, 992 F.2d 1197, 1200-03, 26 USPQ2d 1600, 1603-06 (Fed. Cir. 1993)).* 

Since the claims presented by the applicant are indeed simply abstract ideas, the claims are not covered by the statutory categories of patentable subject matter set forth in 35 U.S.C. 101. An abstract idea is categorized as one of the three judicially created exceptions to patentable subject matter (the three exceptions are Laws of Nature, Natural Phenomena, and Abstract Ideas). The courts have concluded that in order to patent on of the three judicial exceptions to the statutory categories of the invention the claimed subject matter must have a practical, real-world application that produces a useful, concrete, and tangible result (*State Street,* 149 F.3d at 1373-74, 47 USPQ2d at 1601-02).

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In order to overcome this rejection, the applicant must add a final limitation to independent claims 1, 10, and 19 showing step of actually presenting the result of the poll of the network devices to a user in the form of a view. A final step, such as the ones stated in claims 4 or 5, could be presented using a display. By adding this conclusionary step, the applicant will add to the claimed invention a useful, concrete, and tangible result that arises from a practical application of the method steps previously mentioned in the claim.

Claim 2-9, 11-18, and 20-27 are rejected because they contain the deficiencies of claims 1, 10, and 19 respectively.

Note that moving the limitation of claims 4-5, 13-14, and 22-23 into claims 1, 10, and 19 respectively, is not enough to overcome the 35 U.S.C. 101 rejection because claims 4-5, 13-14, and 22-23 still lack the conclusionary step that definitively presents the limitations to the user in a view.

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 1-3, 10-12, 14, 15, 19-21, 23, and 24 are rejected under 35 U.S.C. 102(b) as being anticipated by Hara et al (U.S. 2002/0059410 A1).

With respect to independent claim 1, Hara clearly teaches a plug-in (203b, Fig. 2) for use with a standard network management software that discovers all devices on a network and that stores information about the discovered devices in a database (see paragraph [0045]; Note specifically

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lines 1-4, where Hara discloses that the Local Plugin (203b) which is used to connect the device monitoring server (203a) and the device center server (210). By connecting these servers, all of the components necessary to discover all devices on a network and store information about those devices in a database is accomplished. Applicant is directed toward paragraph [0043], where Hara discloses the arrangement of principal software modules. Specifically in lines 3-8, Hara discusses two types of equipments. These types of equipment are managed by either the device monitoring server (203a) or the PC monitoring server (203d). Applicant is now directed towards paragraph [0044], specifically lines 5-10 where Hara discloses the use of an inventory database (109) to store information about the device-system equipment and the PC/server-system equipment. Note that all of these components are being tied together using the Local Plugin (203b).), comprising: a first computer control configured to access the database and to identify a first set of network devices from the database (see paragraph [0068], Note that the PC monitoring client and the center server have access to the information database (109) so that they can retrieve information about a particular device); a second computer control configured to poll the first set of network devices for information (see paragraph [0048]; Note that the event adapter (210a) periodically searches the information sent from the device monitoring server (203a) about the devices the device monitoring server is managing.).

Note: Examiner would like to note that because the Local Plugin (203b) allows access to all of the components that satisfy applicant's claimed invention that the Local Plugin comprises those components, which satisfy applicant's claimed invention.

With respect to dependent claim 2, Hara clearly teaches the limitation of a third computer control configured to utilize the information from the polled first set of network devices to set predetermined properties for at least one of the first set of network devices (see paragraph [0059] and [0060]; Note that the application system (205) utilizes a setup value information file (401) to set device properties.).

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With respect to dependent claim 3, Hara clearly teaches the limitation of a fourth computer control configured to determine error conditions in the first set of network devices from the information from the polled first set of network devices (see paragraph [0048]).

With respect to independent claim 10, note the discussion of claim 1 above, claim 10 corresponds to claim 1 and is rejected for the same reasons as set forth in the rejection of claim 1.

With respect to dependent claims 11 and 12, note the discussion of claims 2 and 3 above, claims 11 and 12 correspond to claims 2 and 3 respectively and are rejected for the same reasons as set forth in the rejection of claims 2 and 3.

With respect to independent claim 19, note the discussion of claim 1 above, claim 19 corresponds to claim 1 and is rejected for the same reasons as set forth in the rejection of claim 1.

With respect to dependent claims 20 and 21, note the discussion of claims 2 and 3 above, claims 20 and 21 correspond to claims 2 and 3 respectively and are rejected for the same reasons as set forth in the rejection of claims 2 and 3.

# Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claims 4-6, 13-15, and 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara in view of Baekelmans et al (U.S. 7,080,141 B1).

With respect to dependent claim 4, Hara teaches the limitation of a fifth computer control configured to report at least one of the error conditions to a device management facility (see

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paragraph [0071]) but fails to report the error conditions by an e-mail message. However, Baekelmans clearly teaches reporting the error conditions by an e-mail message (see column 10, lines 7-11). It would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the event monitor (110a) as taught by Hara with the notification engine as taught by Baekelmans. The skilled artisan would have been motivated to substitute the event monitor (110a) as taught by Hara with the notification engine as taught by Baekelmans for the purpose of anticipating and resolving problems in network devices before a failure is encountered (see column 2, lines 33-37).

With respect to dependent claim 5, the combination of Hara and Baekelmans clearly teach the elements of claim 4 and Hara further teaches the limitation of the fifth computer control is further configured to report at least a first error condition substantially as the first error condition occurs, and to report at least a second error condition if the second error condition persists for a predetermined period of time (see paragraph [0072]; Note that through a display device a service person is able to view multiple error conditions for multiple devices.).

With respect to dependent claim 6, the combination of Hara and Baekelmans clearly teach the elements of claim 4 and Hara further teaches the limitation of the second to fifth computer controls are repeated for all devices within the first set of network devices at every one of poll cycles (see paragraph [0048]; Note that because the event adapter surveys the information sent from the device monitoring server (203a), which consists of all of the peripheral devices located on the network, that all of the steps described by Hara in the above claims corresponding to the second to fifth computer controls is executed for each device.)

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With respect to dependent claim 13, note the discussion of claim 4 above, claim 13 corresponds to claim 4 and is rejected for the same reasons as set forth in the rejection of claim 4.

With respect to dependent claims 14 and 15, note the discussion of claims 2 and 3 above, claims 14 and 15 correspond to claims 5 and 6 respectively and are rejected for the same reasons as set forth in the rejection of claims 5 and 6.

With respect to dependent claim 22, note the discussion of claim 4 above, claim 22 is a process claim corresponding to claim 4 and is rejected for the same reasons as set forth in the rejection of claim 4.

With respect to dependent claims 23 and 24, note the discussion of claims 2 and 3 above, claims 23 and 24 correspond to claims 5 and 6 respectively and are rejected for the same reasons as set forth in the rejection of claims 5 and 6.

7. Claims 9, 18, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara in view of Baekelmans as applied to claim 4 above, and further in view of Boroughs et al (U.S. 6,834,350 B1).

With respect to dependent claim 9, note the discussion of claim 4 above, the combination of Hara and Baekelmans teach all of the elements of claim 4 but fail to explicitly recite fifth computer control is further configured to encrypt the e-mail message. However, Boroughs clearly teaches encrypting the e-mail message (see column 10, lines 57-61). It would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the event monitor (110a) as taught by Hara with the notification engine as taught by Baekelmans and further modify the notification engine as taught by Baekelmans to incorporate the encrypting of email messages as taught by Boroughs for the purpose of ensuring the security of the error

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messages. The skilled artisan would have been motivated to substitute the event monitor (110a) as taught by Hara with the notification engine as taught by Baekelmans and further modify the notification engine as taught by Baekelmans to incorporate the encrypting of email messages as taught by Boroughs for the purpose of providing secure and differentiated delivery of network security information (see column 2, lines 48-51).

With respect to dependent claim 18, note the discussion of claim 9 above, claim 18 corresponds to claim 9 and is rejected for the same reasons as set forth in the rejection of claim 9.

With respect to dependent claim 27, note the discussion of claim 9 above, claim 27 is a process claim corresponding to claim 9 and is rejected for the same reasons as set forth in the rejection of claim 9.

8. Claims 7, 16, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara in view of Planas et al (U.S. 6,112,015).

With respect to dependent claim 7, note the discussion of claim 1 above, Hara teaches all of the elements of claim 1 but fails to explicitly disclose that the standard network management software is HP Open View. However, Planas clearly teaches HP Open View as standard network management software (see column 1, lines 27-41). It would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the principal software module of the office management systems as taught by Hara with the HP Open View network management software as taught by Planas. The skilled artisan would have been motivated to substitute the principal software module of the office management systems as taught by Hara with the HP Open View network management software as taught by Planas for the purpose of

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providing a user with a Graphical User Interface to enable the maintenance, surveillance and administration of multiple devices on a network (see column 1, lines 14-16 and lines 27-28).

With respect to dependent claim 16, note the discussion of claim 7 above, claim 16 corresponds to claim 7 and is rejected for the same reasons as set forth in the rejection of claim 7.

With respect to dependent claim 25, note the discussion of claim 7 above, claim 25 is a process claim corresponding to claim 7 and is rejected for the same reasons as set forth in the rejection of claim 7.

9. Claims 8, 17, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara in view of Stevens et al (U.S. 6,539,425 B1).

With respect to dependent claim 8, note the discussion of claim 1 above, Hara teaches all of the elements of claim 1 but fails to explicitly disclose that the first set of network devices are all the network devices on the network discovered to be manufactured by a same manufacturer. However, Stevens clearly teaches that the first set of network devices are all the network devices on the network discovered to be manufactured by a same manufacturer (see column 6, lines 6-8). It would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the network devices as taught by Hara with the network devices with the same manufacturer as taught by Stevens. The skilled artisan would have been motivated to substitute the network devices as taught by Hara with the network devices with the same manufacturer as taught by Stevens for the purpose of avoiding tedious and error-prone manual adjustment of configuration changes of many different types of devices (see column 1, lines 46-47).

With respect to dependent claim 17, note the discussion of claim 8 above, claim 17 corresponds to claim 8 and is rejected for the same reasons as set forth in the rejection of claim 8.

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With respect to dependent claim 26, note the discussion of claim 8 above, claim 26 is a process claim corresponding to claim 8 and is rejected for the same reasons as set forth in the rejection of claim 8.

#### Conclusion

- 10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- 1. Fox et al (U.S. 7,096,502 B1) is cited to teach a system and method for assessing the security posture of a network.
- 2. DeKoning et al (U.S. 6,769,022 B1) is cited to teach methods and an apparatus for managing heterogeneous storage devices.

## Inquiries

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jared M. Bibbee whose telephone number is 571-270-1054. The examiner can normally be reached on 5/4/9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christian Chace can be reached on 571-272-4190. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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**JMB** 

Jan Motiverso

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